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|-----------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|-------------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.                      | 239459US0               |
|                                                                                                                                         | First Inventor or Application Identifier | Yukihiro NAKANO, et al. |
|                                                                                                                                         | Title                                    | WATER-BASED INK         |
| Assignee Name: Kao Corporation<br>Assignee Address: 14-10, 1-chome, Kayaba-cho, Nihonbashi, Chuo-ku, Tokyo, Japan                       |                                          |                         |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents</small>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Commissioner for Patents<br>Mail Stop Patent Application<br>Alexandria, Virginia 22313                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br><small>(Submit an original and a duplicate for fee processing)</small><br><br>2. <input checked="" type="checkbox"/> Specification Total Sheets <input type="text" value="52"/><br><br>3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <input type="text"/><br><br>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <input type="text" value="3"/><br>a. <input checked="" type="checkbox"/> Newly executed (original)<br>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))<br><small>(for continuation/divisional with box 17 completed)</small><br>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting inventor(s) named in<br>the prior application, see 37 C.F.R. §1.63(d)(2) and<br>1.33(b).<br>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer<br>Program (Appendix)<br>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification or Sequence Listing on :<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> Paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies | <b>ACCOMPANYING APPLICATION PARTS</b><br><br>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76<br>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><small>(when there is an assignee)</small><br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations<br>12. <input checked="" type="checkbox"/> Preliminary Amendment<br>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard<br>14. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (2)<br><small>(if foreign priority is claimed)</small><br>15. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27<br>16. <input checked="" type="checkbox"/> Other: Request for Priority |

16591 U.S. PTO  
 10/602614  
 06/25/03

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation   
 ☐ Divisional   
 ☐ Continuation-in-part (CIP)   
 of prior application no.: \_\_\_\_\_  
 Prior application information:    Examiner:    Group Art Unit:


For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
 of application Serial No. \_\_\_\_\_ Filed on \_\_\_\_\_  
☐ Which was published in English  
☐ Which was not published in English  
☐ This application claims priority of provisional application Serial No. \_\_\_\_\_ Filed \_\_\_\_\_

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| Name:      | Norman F. Oblon                                                                     | Registration No.: | 24,618  |
| Signature: |  | Date:             | 6-24-03 |
| Name:      | James D. Hamilton                                                                   | Registration No.: |         |

Registration No. 28,421

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

06/26/2003 JBALINAM 00000064 10602614

01 FC:1001 750.00 OP

06/26/2003 JBALINAM 00000076 10602614

01 FC:1202 180.00 OP  
02 FC:1203 280.00 OP

Repln Ref: 06/26/2003 JBALINAM 0010404400  
DAH:150030 Name/Number:10602614  
FC: 9204 \$18.00 CR

12/09/2003 TLUU11 00000009 10602614

01 FC:1202 18.00 OP

PTO-1556  
(5/87)